



RECEIPT #5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David A. Edwards, Robert S. Langer, Rita Vanbever,
Jeffrey Mintzes, Jue Wang, and Donghao Chen

Serial No: 08/971,791

Art Unit: 1615

Filed: November 17, 1997

Examiner: Not yet assigned

For: **PREPARATION OF NOVEL PARTICLES FOR INHALATION**

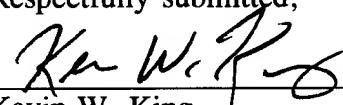
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request issuance of a corrected filing receipt in the above-identified application to correct the name of applicant Joe Wang to Jue Wang; to show that this application claims priority to U.S. application serial number **60/059,004** filed **September 15, 1997**, and U.S. application serial number **08/784,421** filed **January 16, 1997**; and to correct the attorney docket number, **MIT 7513/7804**. To facilitate this request, applicants enclose copies of the executed Declaration of Inventorship and Power of Attorney mailed December 17, 1997; the unexecuted Declaration of Inventorship originally filed on November 17, 1997; the Official Filing Receipt (with corrections noted in red); and the returned postcard, which indicates that the Patent Office received the documents mailed December 17, 1997.

Respectfully submitted,



Kevin W. King
Reg. No. P-42,737

Date: June 24, 1998

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1200 West Peachtree Street
Atlanta, Georgia 30309-3450
404/873-8596
404/873-8597 (fax)

U.S.S.N. 08/971,791

Filed: November 17, 1997

REQUEST FOR A CORRECTED FILING RECEIPT

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this REQUEST FOR A CORRECTED FILING RECEIPT, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Eva Mukasa

Date: June 24, 1998

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98 JUL 14 AM 7:08
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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

19117503/78
Appl & PTO Right

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/971,791	11/17/97	1615	\$1,316.00	20220/458	8	38	2

MIT 7513/7804

PATREA L PABST
ARNALL GOLDEN AND GREGORY
2800 ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET
ATLANTA GA 30309-3450

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

DAVID A. EDWARDS, STATE COLLEGE, PA; ROBERT S. LANGER, NEWTON, MA; RITA VANBEVER, CAMBRIDGE, MA; JEFFREY MINTZES, STATE COLLEGE, PA; ~~JOE WANG, STATE COLLEGE, PA~~; DONGHAO CHEN, STATE COLLEGE, PA. JUE WANG, STATE COLLEGE, PA.

THIS APPLICATION CLAIMS PRIORITY TO 60/059,004 09/15/1997; and
TO 08/784,421 01/16/1997

FOREIGN FILING LICENSE GRANTED 03/04/98

TITLE

PREPARATION OF PARTICLES FOR INHALATION

PRELIMINARY CLASS: 514

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MAR 11 1998

PATENT DEPT.

DOCKETED FOR
BY JSH
DATE 3-16-98



DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"Preparation of Particles for Inhalation"

the specification of which (check one)

is attached hereto

was filed on November 17, 1997

as application Serial No. _____

_____ and was amended on: _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
(Number)	(Country)
(Number)	(Country)
(Number)	(Country)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number

Filing Date

9/15/97
(Number)

9/15/97
(Day/Month/Year Filed)

9/15/97
(Number)

9/15/97
(Day/Month/Year Filed)

9/15/97
(Number)

9/15/97
(Day/Month/Year Filed)

Title: "Preparation of Particles for Inhalation"
By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Joe Wang, Donghao Chen
Filed: November 17, 1997
DECLARATION

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/784,421</u> (Application Serial No.)	<u>1/16/97</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
 (Application Serial No.)	 (Filing Date)	 Status (patented, pending, abandoned)

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
Suzanne F. Seavello	37,933
David S. Bradin	37,783
Collen A. Beard	38,824
Robert A. Hodges	41,074

Send Correspondence to: Patrea L. Pabst, Esq.
ARNALL GOLDEN & GREGORY LLP
2800 One Atlantic Center
1201 W. Peachtree Street
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404) 873-8794

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor David A. Edwards
Inventor's signature _____ Date _____
Residence 109 Hartswick Avenue, State College, PA 16803
Citizenship U.S.
Post Office Address same

Title: "Preparation of Particles for Inhalation"
By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Joe Wang, Donghao Chen
Filed: November 17, 1997
DECLARATION

Full name of second inventor Robert S. Langer

Inventor's signature _____ Date _____

Residence 77 Lombard Street, Newton, MA 02158

Citizenship U.S.

Post Office Address same

Full name of third inventor Rita Vanbever

Inventor's signature _____ Date _____

Residence 11 Centre St., #3, Cambridge, MA

Citizenship U.S.

Post Office Address same

Full name of fourth inventor Jeffrey Mintzes

Inventor's signature _____ Date _____

Residence 421 E. Beaver Avenue, Apt. A-12, State College, PA 16801

Citizenship U.S.

Post Office Address same

Full name of fifth inventor Joe Wang

Inventor's signature _____ Date _____

Residence 869 Southgate Dr., State College, PA 16801

Citizenship U.S.

Post Office Address same

Full name of sixth inventor Donghao Chen

Inventor's signature _____ Date _____

Residence 1013 South Allen Street, #408, State College, PA 16801

Citizenship U.S.

Post Office Address same



The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of:

Applicant: David A. Edwards, et al.

Serial & Docket No. 08/971,791

MIT 7513/7804

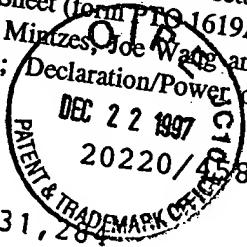
Filed: November 17, 1997

Papers Submitted:

Transmittal of Assignments, Declaration/Power of Attorney, and Certificate Under 37 CFR §3.73(b) with Certificate of Mailing Under 37 CFR §1.8(a) (in duplicate); Recordation Form Cover Sheet (form PTO 1619A) and Assignment from Robert S. Langer and Rita Vanbever to Massachusetts Institute of Technology; Recordation Form Cover Sheet (form PTO 1619A) and Assignment from David A. Edwards, Jeffrey Mintzes, Joe Wang and Donghao Chien to Penn State Research Foundation; Declaration/Power of Attorney; and Checks for \$130.00 and \$80.00.

Date: December 17, 1997

By: Patrea L. Pabst, Reg. No. 31,280





DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"PREPARATION OF PARTICLES FOR INHALATION"

the specification of which (check one)

is attached hereto

was filed on November 17, 1997
as application Serial No. 08/971,791

and was amended on: _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
------------------------------	------------------

(Number)	(Country)	(Day/Month/Year Filed)	
(Number)	(Country)	(Day/Month/Year Filed)	
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
--------------------------------	-------------

<u>60/059,004</u>	<u>September 15, 1997</u>
(Number)	(Day/Month/Year Filed)
(Number)	(Day/Month/Year Filed)
(Number)	(Day/Month/Year Filed)

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/784,421
(Application Serial No.)

January 16, 1997
(Filing Date)

Pending
Status
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

Status
(patented, pending, abandoned)

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
David S. Bradin	37,783
Collen A. Beard	38,824
Robert A. Hodges	41,074
Suzanne F. Seavello	37,933

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ARNALL GOLDEN & GREGORY LLP
2800 One Atlantic Center
1201 W. Peachtree Street
Atlanta, GA 30309-3450

Direct telephone calls to:

Patrea L. Pabst (404) 873-8794

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Full name of first inventor David A. Edwards

Inventor's signature D.A. C.

Date 12/11/1997

Residence 109 Hartswick Avenue, State college, PA 16803

Citizenship United States

Post Office Address Same as above

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of second inventor Robert S. Langer

Inventor's signature RSL Date 12/8/97

Residence 77 Lombard Street, Newton, MA 02158

Citizenship United States

Post Office Address Same as above

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of third inventor Rita Vanbever

Inventor's signature Rita Vanbever

Date 12/8/97

Residence 11 Centre St. #3, Cambridge, MA 02139

Citizenship United States

Post Office Address Same as above

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of fourth inventor Jeffrey Mintzes

Inventor's signature Jeffrey Mintzes

Date 12-11-97

Residence 421 E. Beaver Avenue, Apt. A-12, State College, PA 16801

Citizenship United States

Post Office Address Same as above

Full name of fifth inventor Jue Wang

Inventor's signature Jue Wang

Date 12-11-97

Residence 869 Southgate Dr., State College, PA 16801

Citizenship United States

Post Office Address Same as above

Full name of sixth inventor Donghao Chen

Inventor's signature Donghao Chen

Date 12-11-97

Residence 1013 South Allen Street, #408, State College, PA 16801

Citizenship United States

Post Office Address Same as above